

# 國泰世華銀行香港分行經紀佣金暨服務費用表

## Cathay United Bank Hong Kong Branch Commission and Service Fees Schedule

2017年3月版本

Stock Market 股票市場	Currency 幣別	Item 項目	Bank Commission Charge 銀行佣金收費
Hong Kong 香港	HKD	**Brokerage Commission **經紀佣金	0.50% on Transaction Amount (Min Charge HKD\$100) 成交金額之0.50% (最低收費港幣100元)
Shanghai A (Shanghai-Hong Kong Stock Connect )上海A股 (滬港通北向交易)	CNY	**Brokerage Commission **經紀佣金	0.50% on Transaction Amount (Min Charge CNY\$100) 成交金額之0.50% (最低收費人民幣100元)
Taiwan 台灣	TWD* (Settle in USD; 以美元交收)	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge equivalent to TWD\$1,000 ) 成交金額之1.00% (最低收費約當新台幣1,000元)
USA 美國	USD	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge USD\$50) 成交金額之1.00% (最低收費美元50元)
Singapore 新加坡	SGD	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge SGD\$50) 成交金額之1.00% (最低收費新加坡幣50元)
Japan 日本	JPY	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge JPY\$5,000) 成交金額之1.00% (最低收費日圓5,000元)
UK 英國	GBP	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge GBP\$30) 成交金額之1.00% (最低收費英鎊30元)
France 法國	EUR	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge EUR\$50) 成交金額之1.00% (最低收費歐元50元)
Germany 德國	EUR	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge EUR\$50) 成交金額之1.00% (最低收費歐元50元)
Thailand 泰國	THB* (Settle in USD; 以美元交收)	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge equivalent to THB\$2,000) 成交金額之1.00% (最低收費約當泰幣2,000元)
Australia 澳洲	AUD	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge AUD\$50) 成交金額之1.00% (最低收費澳幣50元)
Korea 韓國	KRW* (Settle in USD; 以美元交收)	**Brokerage Commission **經紀佣金	1.00% on Transaction Amount (Min Charge equivalent to KRW\$50,000) 成交金額之1.00% (最低收費約當韓幣50,000元)

\*本行收費未含交易稅、印花稅、交易規費或任何交易所收費(如適用)。本行將對貴客戶收取交易衍生之有關費用。Bank Service Charge does NOT include Tax, Stamp Duty, Transaction Levy, or any other Exchange Fees where applicable. Client will subject to these trading fees relating to purchase/sell Securities.

\*\*保管費之計算以每日保管之有價證券之總價值，按約定年費逐日累計計算，按月收取。Calculation of the custody fee is done by multiplying the value of all relevant securities under custody by the annual fee on a daily basis. These daily values are summed up and charged monthly.

\*\*\*有關由香港特別行政區政府／香港交易所／證監會所釐訂之收費若有更改，恕不另行通知。本行有權隨時調整上述收費之一切權利，所有收費以國泰世華銀行香港分行網站公佈為準。All fees and charges determined by HKSAR Government/HKEX/SFC are subject to change without prior notice. The bank reserves the right to adjust the above charges. All charges are subject to announcement in Cathay United Bank Hong Kong Branch official website.

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## Cathay United Bank Hong Kong Branch Commission and Service Fees Schedule

Fixed Income Product 固定收益(債券)產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1. Spread Charge 價差收費 2. Custody Fee 保管費	1. 0.30% ~ 3.00% of face value for each transaction 每次交易面額之0.30%~3.00%. 2. 0.2% p.a. 每年保管資產的0.2%

Structured Note 結構式產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1. Brokerage Commission 經紀佣金 2. Custody Fee 保管費	1. 0.10% ~ 5.00% of face value for each transaction 每次交易面額之0.10%~5.00%. 2. 0.2% p.a. 每年保管資產的0.2%

Structured Deposit 組合式產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1. Spread Charge 價差收費	1. 0.01% ~ 5.00% of face value for each transaction 每次交易面額之0.01%~5.00%.

Mutual Fund 基金產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1. Brokerage Commission 經紀佣金 2. Transfer Fee 轉換手續費 3. Custody Fee 保管費	1. All charges applied in accordance with the fund houses' policy 按基金公司於基金概覽或相關文件中之建議規定辦理 2. Fund houses' policy applied plus USD\$10 or equivalent currency 按基金公司規定費率(如有), 再加上每筆交易收取美金10元或等值幣別轉換手續費 3. 0.2% p.a. 每年保管資產的0.2%

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