



**Cathay United Bank Company, Limited, Hong Kong Branch
Commission and Service Fees Schedule**

Stock Market 股票市場	Currency 幣別	Item 項目	Bank Commission Charge 銀行佣金收費
Hong Kong 香港	HKD*	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 0.50% on Transaction Amount (Min Charge HKD\$100) 成交金額之0.50% (最低收費港幣100元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Shanghai A (Shanghai - Hong Kong Stock Connect) 上海A股(滬港 通北向交易)	CNY	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 0.50% on Transaction Amount (Min Charge CNY\$100) 成交金額之0.50% (最低收費人民幣100元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Taiwan 台灣	TWD* (Settle in USD 以美元交 收)	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge equivalent to TWD\$1,000) 成交金額之1.00%(最低收費約當新台幣1,000元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
USA 美國	USD	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge USD\$50) 成交金額之1.00% (最低收費美元50元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Singapore 新加坡	SGD	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge SGD\$50) 成交金額之1.00% (最低收費新加坡幣50元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Japan 日本	JPY	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge JPY\$5,000) 成交金額之1.00% (最低收費日圓5,000元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
United Kingdom 英國	GBP	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1.00% on Transaction Amount (Min Charge GBP\$30) 成交金額之1.00% (最低收費英磅30元) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知



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France 法國	EUR	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge EUR\$50) 成交金額之1.00% (最低收費歐元50元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Germany 德國	EUR	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge EUR\$50) 成交金額之1.00% (最低收費歐元50元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Thailand 泰國	THB* (Settle in USD; 以美元交收)	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge equivalent to THB\$2,000) 成交金額之1.00% (最低收費約當泰幣2,000元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Australia 澳洲	AUD	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge AUD\$50) 成交金額之1.00% (最低收費澳幣50元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Korea 韓國	KRW* (Settle in USD; 以美元交收)	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.00% on Transaction Amount (Min Charge equivalent to KRW\$50,000) 成交金額之1.00% (最低收費約當韓幣50,000元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知
Indonesia 印尼	IDR* (Settle in USD; 以美元交收)	1) Brokerage Commission 經紀佣金 2) Custody fee 保管費**	1) 1.50% on Transaction Amount (Min Charge equivalent to IDR\$700,000) 成交金額之1.50% (最低收費約當印尼盾700,000元) 2) Unless otherwise specified by the bank, 0.0125% per month 0.0125% 每月或銀行另行通知

Fixed Income Product 固定收益(債券)產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD,	1) Spread Charge 價差收費 2) Custody Fee	1) 0.30% ~ 3.00% of face value for each transaction 每次交易面額之0.30%~3.00%. 2) Unless otherwise specified by the bank,



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	SGD, USD, ZAR	保管費**	0.0167% per month 0.0167% 每月或銀行另行通知
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Structured Note 結構式債券	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1) Brokerage Commission 經紀佣金 2) Custody Fee 保管費**	1) 0.10% ~ 5.00% of face value for each transaction 每次交易面額之0.10%~5.00%. 2) Unless otherwise specified by the bank, 0.0167% per month 0.0167% 每月或銀行另行通知

Structured Deposit 結構式產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1) Spread Charge 價差收費	1) 0.01% ~ 5.00% of face value for each transaction 每次交易面額之0.01%~5.00%.

Mutual Fund 基金產品	Currency 幣別	Item 項目	**Bank Service Charge 銀行服務收費
Global Market 環球市場	AUD, CAD, CNH, EUR, GBP, HKD, JPY, NZD, SGD, USD, ZAR	1) Brokerage Commission 經紀佣金 2) Transfer Fee 轉換手續費 3) Custody Fee 保管費**	1) All charges applied in accordance with the fund houses' policy 按基金公司於基金概覽 或相關文件中之建議規定辦理 2) Fund houses' policy applied plus USD\$10 or equivalent currency 按基金公司規定費率(如 有), 再加上每筆交易收取美金10元或等值幣 別轉換手續費 3) Unless otherwise specified by the bank, 0.0167% per month 0.0167% 每月或銀行另行通知

*本行收費未含交易稅、印花稅、交易規費、銀行匯款費、交易費、交割費、換匯費、股東會行使費、收現金/股票股息費或任何交易所收費(如適用)。本行將對貴客戶收取以上但不限於交易衍生之有關費用。

Bank Service Charge does NOT include Tax, Stamp Duty, Transaction Levy, Transaction Fee, Settlement Fee, FX Exchange Fee, fee for attending the shareholder meeting, Interest/Share Dividend/Cash dividend Collection, or any other Exchange Fees where applicable. Client will subject to but not limited to above fees relating to purchase/sell Securities.

**保管費之計算以每月月底保管之有價證券之總價值，按月收取，美元交收。

Calculation of the custody fee is done by calculating the value of all relevant securities under custody at the end of each month in USD. The fee is charged on a monthly basis.



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附註：

Footnotes:

1. 有關由香港特別行政區政府／香港交易所／證監會所釐訂之收費若有更改，恕不另行通知。本行有權隨時調整上述收費之一切權利，所有收費以國泰世華銀行香港分行網站公佈為準。
All fees and charges determined by HKSAR Government/HKEX/SFC are subject to change without prior notice. The bank reserves the right to adjust the above charges. All charges are subject to announcement in Cathay United Bank Hong Kong Branch official website.
2. 客戶累計證券交易量或在本行的資產總值越高，則經紀佣金折扣可能越高。
The higher the accumulated securities transaction amount or the Investment Assets Value held with CUBHK, the more discount on brokerage commission may be offered.
3. 向客戶分銷基金及結構式債券時，本行以代理人行事；與客戶交易債券及結構式產品時，本行以主事人行事。
The bank acts as the agent of the customer in executing the equities, mutual funds and structured notes. The bank acts as the principal of the customer in executing the bonds and structured deposit.
4. 向客戶分銷基金及結構式產品時，本行以代理人行事，對於本分行與客戶之間因銷售過程或處理有關交易而產生的合資格爭議（定義見金融糾紛調解計劃的金融糾紛調解中心職權範圍），本分行須與客戶進行金融糾紛調解計劃程序；而有關產品的合約條款的任何爭議，應由發行人與客戶直接解決。
The bank acts as the agent of the customer in executing the mutual funds and structured notes. In respect of an eligible dispute (as defined in the Terms of Reference for the Financial Dispute Resolution Centre in relation to the Financial Dispute Resolution Scheme) arising between the bank and the customer out of the selling process or processing of the related transaction, the bank is required to enter into a Financial Dispute Resolution Scheme process with the customer; however any dispute over the contractual terms of the products should be resolved between directly issuer and the customer.

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