

國泰世華商業銀行股份有限公司香港分行

Cathay United Bank Company Limited, Hong Kong Branch

基金投資指示書 Investment Funds Customer Order Form

Tel : 002-852-2877-5488 (香港專線) Fax : 002-852-2527-0966 / 002-852-2877-9903

Please complete in **block capitals**. 請用中/英文正楷填寫表格。

交易日期：(西元) 年 月 日

投資帳戶戶名(中/英文) Name of Investment Account (Chinese/ English)			
投資帳戶號碼 Investment Account No.	客戶電話號碼 Client Telephone No.		

Subscription Instruction 申購指示

基金代號 Fund Code	基金名稱 Fund Name	基金貨幣 Currency	投資金額 Investment Amount	認購手續費(百分比) Sub. Charge (percentage)	總金額(含手續費) Total Amount

Redemption Instruction 贖回指示

基金代號 Fund Code	交易編號 Reference No.	基金名稱 Fund Name	基金貨幣 Currency	贖回單位數量 Redemption Unit(s)	月供狀態 MIP Status
					<input type="checkbox"/> 續供 Continue <input type="checkbox"/> 暫不供 Suspend
					<input type="checkbox"/> 續供 Continue <input type="checkbox"/> 暫不供 Suspend

Switching Instruction 轉換指示 (Applicable to funds belonging to the same Fund Management Company only 只供屬同一基金管理公司之基金)

轉換交易編號 Switching Reference No.	轉出基金名稱 Fund Name For Switch-Out	轉換單位數量 Switch-Out Unit(s)	轉入基金名稱 Fund Name For Switch-In	手續費 Charge

重要事項 Important Notice

基金產品並非受保障存款，不受香港的存款保障計劃保障。本行可能因本業務收取或享受不同形式及不同比率的回扣、折扣、佣金、銷售獎勵金、收費、利益及/或其他好處。產品發行人/提供者與本行可能有直接或間接的關聯關係，本行可能因而產生財務或其他得益。至於基金回贖金上限則不會高於0.80%。Unit Trust is not a protected deposit and is not protected by the Deposit Protection Scheme in Hong Kong. The Bank and/or its employees may receive or enjoy rebates, discounts, commissions, sales incentives, fees, benefits and/or other advantages in different forms and at varying rates arising out of or in connection with this subscription. The product issuers/providers may directly or indirectly be connected with the Bank and it may make a financial or otherwise gain in this subscription. The maximum trailer fee rate is no more than 0.80%.

客戶聲明及簽署 Customer Declaration and Signature(s)

本人/吾等以自身需求自行投資此項商品，並知悉基金/證券價格可升可跌，有時可能會非常波動，甚至變成毫無價值。買賣未必一定能夠賺取利潤，反而可能會招致損失，本人/吾等願意承擔此風險。本人/吾等知悉並願意承擔基金/證券帶來之潛在風險及損失，如發行公司及其信託人的信用風險、貨幣匯率、流通性、企業行動、對沖性等風險。本人/吾等確認已閱讀並有能力理解以上的重要事項及關於以上基金的任何最新產品說明、銷售文件及財務報告及賬目，並同意及接受該文件及投資帳戶書內的約章及條款。本人/吾等明白及接受銀行可在毋需事先通知本人/吾等的情況下，拒絕執行客戶委託之交易，對於因此招致的任何損失，一概毋須對客戶負責。本人/吾等現授權銀行處理上述交易，包括投資和存款帳戶。I/We acknowledge that the prices of mutual funds/securities may go down as well as up, sometimes fluctuate dramatically, even become valueless, and I/we declare to accept such risk without your responsibility. It is as likely that losses will be incurred rather than profit made as a result of buying and selling and is a risk that I/we am/are prepared to accept. I/We acknowledge and accept any potential risks and losses that mutual funds/securities may sustain which include the inherent credit risk of issuers and custodians/risk of exchange rate/liquidity/ hedging/corporate action. I/We confirm and acknowledge that I/we have read and have the capability to understand the latest product specification, constitutive document, prospectus, explanatory memorandum and other offering document and financial report and accounts for the above Fund(s). I/We accept and agree to be bound by the terms of the above as well as the terms and condition of our investment account opening documents. I/We understand and acknowledge that the Bank may reject Transaction(s) without prior notice and that the Bank shall not be liable to the Customer for any loss whatsoever or due to the Bank's failing to perform the Transaction(s). I/We hereby authorize the Bank to handle the above transactions, including necessary action in my/our investment and deposit account. I/we declare to accept such risk without your responsibility.

本人/我們於本表格所示的投資金額不多於本人/我們於實行、其他金融機構及本人/我們所持有之金融資產總值的百分之二十。
I/We declare of the asset concentration mentioned above this subscription product concentration ratio is below 20% of my/our total assets.

客戶簽署 Authorized signature▼

驗印 S.V	
確認時間 Confirm Time	
確認人員 Staff	

只供銀行填寫 For Bank Use Only

本人/吾等以自身需求自行投資此項商品，而充份了解此商品會是高危、對沖基金、未有登記、私募等等，該商品風險屬性高於/已逾自身風險承受度之投資比率，投資之損益與實行無關，特此聲明。I/We acknowledge that the product subscribed is of high risk, hedge fund, non-registered, private placement etc. and is more risky than or exceeded investment parameters at my/our sole discretion which I/we declare to accept such risk without your responsibility.

客戶簽署 Authorized signature▼

行政主管 Executive Officer	
時間及理由 Time & Reason	

只供銀行填寫 For Bank Use Only

銀行銷售人員 Sales Department		銀行作業人員 Operation Department	
經辦 Maker	覆核 Checker	經辦 Maker	覆核 Checker

